ROARMAP

ROARMAP: Registry of Open Access Repositories Mandatory Archiving Policies
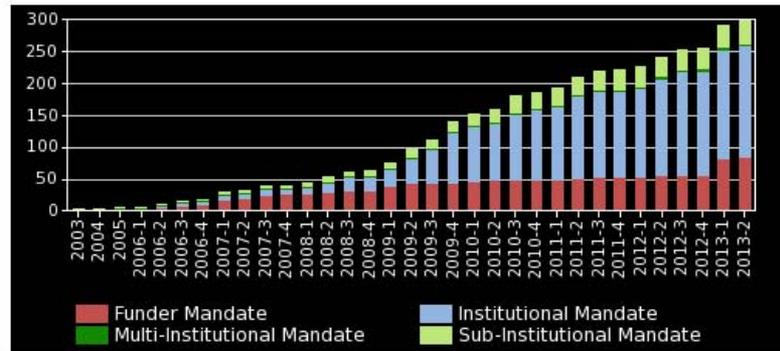
Atom   RSS 1.0   RSS 2.0

Register your Institutional Open Access Mandate HERE

Please also register your **Institutional Repository** in ROAR if not yet registered

(For *Open Educational Resources* mandates please see OER Policy Registry.)

Total Mandates to Date (by type)

Institutional Mandates (172)   Proposed Institutional Mandates (7)

Sub-Institutional Mandates (38) Proposed Sub-Institutional Mandates (4)

Multi-Institutional Mandates (4) Proposed Multi-Institutional Mandates (8)

Funder Mandates (81)   Proposed Funder Mandates (12)

Thesis Mandates (102)

Funder Mandate | Institutional Mandate
Multi-Institutional Mandate | Sub-Institutional Mandate

UCSF Open Access Policy (passed 21 May 2012)

The Faculty of The University of California at San Francisco (UCSF) is committed to disseminating its research and scholarship as widely as possible, and as members of a public university system, is dedicated specifically to making its scholarship available to the people of California. Thus, the Faculty adopts the following policy:

For the purpose of open dissemination, each Faculty member grants to the Regents of the University of California, a nonexclusive, irrevocable, worldwide license to exercise any and all rights under copyright relating to each of his or her scholarly articles, in any medium, and to authorize others to do the same, provided that the articles are not sold. The policy applies to all scholarly articles authored or co-authored while the person is a member of the Faculty except for any articles completed before the adoption of this policy and any articles for which the Faculty member entered into an incompatible licensing or assignment agreement before the adoption of this policy. This policy does not transfer copyright ownership, which remains with Faculty authors under existing University of California policy. Application of the license will be waived for a particular article or access delayed for a specified period of time upon express direction by a Faculty member to the University of California.

To assist the University in disseminating scholarly articles, each Faculty member will provide an electronic copy of his or her final version of the article to the University of California by the date of publication. The University of California will make the article available in an open-access repository. When appropriate, a Faculty member may instead notify the University of California if the article will be freely available in another repository or as an open-access publication.

The Academic Senate and the University of California will be responsible for implementing this policy, resolving disputes concerning its interpretation and application, and recommending any changes to the Faculty. The Academic Senate and the University of California will review the policy within three years, and present a report to the Faculty.

The Faculty calls upon the Academic Senate and the University of California to develop and monitor a service or mechanism that would render implementation and compliance with the policy as convenient for the Faculty as possible.

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UCSF Open Access Policy Options

The UCSF Academic Senate voted on and unanimously passed an Open Access Policy for UCSF faculty at its May 21, 2012 Division Meeting. View the **policy and supporting documents** at the Academic Senate website.

To request a waiver or an embargo of this policy, please complete the appropriate form below. You can also request an addendum for inclusion alongside your author agreement.

If you are not sure whether you need a waiver, embargo or addendum or if you have additional questions about the policy or this process, visit our **Frequently Asked Questions** page. You may also contact us at **oapolicy@ucsf.edu**. If you do not yet have a final version please deposit that version as soon as it's available.

Deposit: Submit your article to UC's eScholarship repository or notify us if it is already OA or available in another OA repository.

Embargo: Request verification that your publication will not be displayed in UC's eScholarship repository until your publisher's required embargo period has expired.
(Learn more)

Addendum: Notify publishers of your rights under the OA policy by generating an addendum to attach to your publisher's author agreement. Or, use the SPARC author addendum to secure additional author rights.
(Learn more)

Waiver: If required by your publisher, request a waiver to opt-out of the Open Access license for a single publication.
(Learn more)

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Deposit: Submit your article to UC's eScholarship repository or notify us if it is already OA or available in another OA repository.

Embargo: Request verification that your publication will not be displayed in UC's eScholarship repository until your publisher's required embargo period has expired.
(Learn more)

Addendum: Notify publishers of your rights under the OA policy by generating an addendum to attach to your publisher's author agreement. Or, use the SPARC author addendum to secure additional author rights.
(Learn more)

Waiver: If required by your publisher, request a waiver to opt-out of the Open Access license for a single publication.
(Learn more)

Waiver Form

*Article Title [_____]

*Faculty Author [First____] [Middle____] [Last____]

*Journal [_____]

Publisher [_____]

*Discipline [Select Discipline ÷]

*Email [_____]

You may upload your paper below, indicating which version you are providing. You can check the **Sherpa/Romeo** service to determine whether your publisher allows upload of the final formatted version.

Version ○Publisher's Final Version (**if allowed**)
○Author's Final Version (post peer-review)

Upload File [no file selected] [Browse...]

[Clear] [Submit]

* required

From: **no-reply@escholarship.org**
Subject: Notification of open access waiver
Date: September 20, 2012 4:26:40 PM PDT
To: Library - DL OA Policy
Reply-To: no-reply@escholarship.org

Dear policy administrator,

This is an automated message is to notify you that an Open Access Policy waiver form has been submitted. Details follow:

Requested: waiver
Title: Role of a prefrontal cortex to brainstem projection in responding to behavioral challenge
Author 1:
    First Name: Loren
    Middle Name: Magnus
    Last Name: Frank
Journal: Nature
Publisher: Nature Publishing Group
Discipline: Biological and Biomedical Sciences
Email: loren@phy.ucsf.edu
Version: Select Version
Link to uploaded file: http://escholarship.org/openAccPol/data/oap_5bTvkX/file/
Link to waiver PDF: http://escholarship.org/openAccPol/data/oap_5bTvkX/waiver.pdf

- UCSF publishes around 4,500 papers annually (375 per month).

- 172 waiver requests in 11 months (July 2012-present)

- Waivers are being requested for a very small percentage of our total scholarly output (less than 4%; MIT sees 4%)

- We will still be able to deposit a large percentage of waivered papers (e.g. Nature, PNAS) under the publisher's policy.

- Many cooperating publishers include for example, one of the largest publishers, Springer

- American Society for Cell Biology
- BioMed Central (will make automatic deposit)
- Molecular Systems Biology
- MIT Press
- PLoS
- PeerJ
- Rockefeller University Press
- Society for Neuroscience

Nature: Requires every UCSF author to opt out of the Policy, but has offered an "experiment" to automatically deposit manuscripts six months after publication if we agree to an "automatic" waiver process for them.

PNAS: Requires every UCSF author to opt out of the Policy, but allows us to copy the final published version of the article from their website and deposit in our repository, after 6 months.

AAAS (*Science*): Initially said our policy was compatible but then apparently changed their author agreement and their required conflicts of interest statement so that both documents now require authors to opt out of open access policies.

Elsevier: Revised their author agreement for 2011 to include language that requires authors to opt out of institutional open access policies, and apparently (depending on interpretation of ambiguous language and language in relation to institutional OA policy) prohibiting author posting of articles in campus repositories when the author is at an institution with an open access policy.